

P/126-221

WNZ-2595

2002-216112

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**☐Declaration
Submitted
with Initial
Filing

OR

☐Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

First Named Inventor

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SEMICONDUCTOR MEMORY DEVICE AND METHOD OF MANUFACTURING THE SAME

(Title of the Invention)

the specification of which

☒

is attached hereto

OR

☐

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
216112/2002	Japan	07/25/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

P/126-221

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/>		Customer Number or Bar Code Label <input type="text"/>		OR <input type="checkbox"/>		Correspondence address below	
Ostrolenk, Faber, Gerb & Soffen							
Name							
1180 Avenue of the Americas							
Address							
New York City				New York State		10036-8403 ZIP	
U.S.A. Country			Telephone			Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR: <input type="checkbox"/>				A petition has been filed for this unsigned inventor			
Given Name Kiyonori (first and middle [if any])				Family Name OYU or Surname			
Inventor's Signature <i>Kiyonori Oyu</i>				July 23, 2003 Date			
Tokyo Residence: City		Tokyo State		Japan Country		Japanese Citizenship	
Mailing Address c/o Elpida Memory, Inc., 2-1, Yaesu 2-chome, Chuo-ku							
Tokyo City		Tokyo State		ZIP		Japan Country	
NAME OF SECOND INVENTOR: <input type="checkbox"/>				A petition has been filed for this unsigned inventor			
Given Name Atsushi (first and middle [if any])				Family Name OGISHIMA or Surname			
Inventor's Signature <i>Atsushi Ogishima</i>				July 23, 2003 Date			
Tokyo Residence: City		Tokyo State		Japan Country		Japanese Citizenship	
Mailing Address c/o Elpida Memory, Inc., 2-1, Yaesu 2-chome, Chuo-ku							
Tokyo City		Tokyo State		ZIP		Japan Country	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							

P/126-221

PTO/SB/02A (10-00)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page ____ of ____

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Hiroyuki		Family Name or Surname UCHIYAMA	
Inventor's Signature <i>Hiroyuki Uchiyama</i>		Date July 23, 2003	
Tokyo Residence: City	Tokyo State	Japan Country	Japanese Citizenship
c/o Elpida Memory, Inc., 2-1, Yaesu 2-chome, Mailing Address			
Chuo-ku Mailing Address			
City Tokyo	Tokyo State	ZIP	Japan Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Keizo		Family Name or Surname KAWAKITA	
Inventor's Signature <i>Keizo Kawakita</i>		Date July 23, 2003	
Tokyo Residence: City	Tokyo State	Japan Country	Japanese Citizenship
c/o Elpida Memory, Inc., 2-1, Yaesu 2-chome, Mailing Address			
Chuo-ku Mailing Address			
City Tokyo	Tokyo State	ZIP	Japan Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Masahito		Family Name or Surname SUZUKI	
Inventor's Signature <i>Masahito Suzuki</i>		Date July 18, 2003	
Residence: City Kodaira-shi	Tokyo State	Japan Country	Japanese Citizenship
c/o Hitachi ULSI Systems Co., Ltd., Mailing Address			
Mailing Address 22-1, Josuihoncho 5-chome			
City Kodaira-shi	Tokyo State	ZIP	Japan Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.